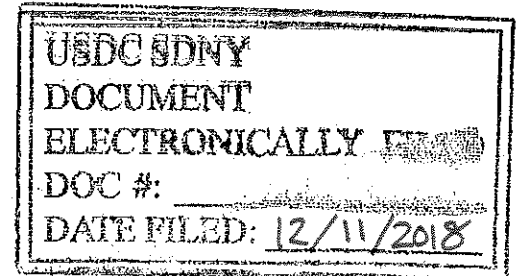


UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
:
SECURITIES & EXCHANGE COMMISSION, :
:
Plaintiffs, :
:
-v- :
:
ALPINE SECURITIES CORPORATION, :
:
Defendant. :
-----X

17cv4179 (DLC)

ORDER



DENISE COTE, District Judge:

An Opinion was issued today that largely granted the SEC's motion for summary judgment as to liability. Accordingly, it is hereby

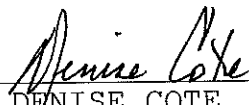
ORDERED that by **January 4, 2019** the parties shall submit a table listing the deficient-narrative SARs as to which summary judgment was granted by item number and SAR number.

IT IS FURTHER ORDERED that the parties immediately contact the mediator to whom they were referred by the Court-annexed Mediation Program for mediation to occur in **January 2019**. The parties are notified that Local Rule 83.9 shall govern the mediation and are directed to participate in the mediation in good faith. The mediation will have no effect upon any scheduling Order issued by this Court without leave of this Court.

IT IS FURTHER ORDERED that the Joint Pretrial Order in this

case must be filed by **February 15, 2019**.

Dated: New York, New York
December 11, 2018

A handwritten signature in cursive script, appearing to read "Denise Cote", is written over a horizontal line.

DENISE COTE

United States District Judge